

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of:

Kurt Stark et al.

Serial No.: 10/595,102~

Filed:

February 14, 2006

For:

SILICONE-CONTAINING POLYVINYL ACETALS

Attorney Docket No.: WAS0738PUSA

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Kurt Stark et al.

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

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PTO/SB/08A (10-96) [MODIFIED]
Approved for use through 10/31/99. OMB 0651-0031
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MAR 0 6 2006 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Metitute for I	Form 14494/PTO			Complete if Known		
	Form 1449A/PTO			Application Number	10/595,102	
	MATION D			Filing Date	February 14, 2006	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Kurt Stark et al.	
				Group Art Unit	Unknown	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	WAS0738PUSA	

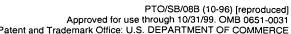
	U.S. PATENT DOCUMENTS							
U.S. PATENT DOCUMENT  Examiner Cite Kind Code² Initials No.¹ Number (if known)			Kind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		4,277,299		Cherenko et al.	07/07/1981			
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite		Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		JР	08073542 A2		Natoko Paint KK	03/19/1996			
		JР	02141289 A		Fuji Kagakushi	05/30/1990			
		JΡ	06211549 A		Sekisui Chem Co.	08/02/1994			
		JP	07290847 A		Denki Kagaku	11/07/1995			
		JР	09141801 A		Teijin Ltd.	06/03/1997			
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		EP	0 614 924 A1		Showa Highpolymer	09/14/1994			
		EP	1 095 953 A2		Dow Corning	05/02/2001			
		DE	102 15 962 A1		Wacker Polymer	10/30/2003			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of 2

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE				
Complete if Known				
Application Number	10/595,102			
Filing Date	February 14, 2005			
First Named Inventor	Kurt Stark et al.			
Group Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	WAS0748PUSA			

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	Polymer Handbook, 4 <sup>th</sup> Edition [1999], Chapter II						
		Patent Abstract of Japan corres. to JP-A 08073542					
		Patent Abstract of Japan corres. to JP-A 02141289					
		Patent Abstract of Japan corres. to JP-A 06211549					
		Patent Abstract of Japan corres. to JP-A 07290847					
		Patent Abstract of Japan corres. to JP-A 09141801					
		Patent Abstract of Japan corres. to JP-A 60210551					
		Derwent Abstract corres. to DE 10215962 [AN2003-865277]					

Examiner	Date		
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of KURT STARK Serial Number

**Group Art Unit:** 

Filed:

For: SILICONE-CONTAINING POLYVINYL ACETALS:

## **Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

#### Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP-A 06-211549 an English PAJ-Abstract is enclosed.

US-A 4,277,299 is already in English language.

For JP-A 60-210551 an English PAJ-Abstract is enclosed.

For JP-A 08-73542 an English PAJ-Abstract is enclosed.

For JP-A 09-141801 an English PAJ-Abstract is enclosed.

For JP-A 02-141289 an English PAJ-Abstract is enclosed.

For JP-A 07-290847 an English PAJ-Abstract is enclosed.

EP-A 614924 is already in English language.

EP-A 1095953 is already in English language.

For DE-A 10215962 an English Derwent Abstract is enclosed.

The Polymer Handbook, 4<sup>th</sup> Edition (1999), chapter II is already in English language.

Signed this  $\mathcal{J}^{\mathcal{H}}$  day of November, 2005.

Dr. Michael Schuderer